## A REPRESENTATION FORMULA RELATED TO SCHRÖDINGER OPERATORS

## SHIJUN ZHENG

ABSTRACT. Let  $H = -d^2/dx^2 + V$  be a Schrödinger operator on the real line, where  $V \in L^1 \cap L^2$ . We define the perturbed Fourier transform  $\mathcal{F}$  for H and show that  $\mathcal{F}$  is an isometry from the absolute continuous subspace onto  $L^2(\mathbb{R})$ . This property allows us to construct a kernel formula for the spectral operator  $\varphi(H)$ . The main theorem improves the previous reslut obtained by the author for certain short-range potentials.

Schrödinger operator is a central subject in the mathematical study of quantum mechanics. Consider the Schrödinger operator  $H = -\Delta + V$  on  $\mathbb{R}$ , where  $\Delta = d^2/dx^2$  and the potential function V is real valued. In Fourier analysis, it is well-known that a square integrable function admits an expansion with exponentials as eigenfunctions of  $-\Delta$ . A natural conjecture is that an  $L^2$  function admits a similar expansion in terms of "eigenfunctions" of H, a perturbation of the Laplacian (see [7]. Ch.XI and the notes), under certain condition on V.

The three dimension analogue was proven true by T.Ikebe [6], a member of Kato's school, in 1960. Later his result was extended by Thor to the higher dimension case [10]. In one dimension, recent related results can be found in e.g., Guerin-Holschneider [5], Christ-Kiselev [4] and Benedetto-Zheng [3].

Throughout this paper we assume  $V : \mathbb{R} \to \mathbb{R}$  is in  $L^1 \cap L^2$ . We shall prove a one-dimensional version of Ikebe's theorem for  $L^2$  functions (Theorem 1). Theorem 2 presents an integral formula for the kernel of the spectral operator  $\varphi(H)$  for a continuous function  $\varphi$  with compact support. In a sequel to this paper we shall use this explicit formula to study function spaces associated with H (see [3]).

The generalized eigenfunctions  $e(x,\xi), \xi \in \mathbb{R}$  of H satisfy

(1) 
$$(-d^2/dx^2 + V(x))e(x,\xi) = \xi^2 e(x,\xi)$$

Date: August 2, 2004.

<sup>2000</sup> Mathematics Subject Classification. Primary: 42C15; Secondary: 35P25. Key words and phrases. spectral theory, Schrödinger operator.

The author is supported in part by DARPA (Defense Advanced Research Projects Agency).

in the sense of distributions.

Definition. The *perturbed Fourier transform*  $\mathcal{F}$  on  $L^2$  is given by

(2) 
$$\mathcal{F}f(\xi) = \text{l.i.m.}(2\pi)^{-1/2} \int f(x)\overline{e(x,\xi)} \, dx$$
$$= \lim_{N \to \infty} (2\pi)^{-1/2} \int_{-N}^{N} f(x)\overline{e(x,\xi)} \, dx,$$

where the convergence is in  $L^2$  norm as  $N \to \infty$ . By Theorem 1,  $\mathcal{F}$  is a well-defined isometry from  $\mathcal{H}_{ac}$  onto  $L^2$ .

**Theorem 1.** Suppose  $V \in L^1 \cap L^2$ . Then there exists a family of solutions  $e(x,\xi)$ ,  $|\xi| \in [0,\infty) \setminus \mathcal{E}_0$ ,  $\mathcal{E}_0$  being a bounded closed set of measure zero, to equation (1) with the following properties.

(i) If  $f \in L^2$ , then there exists an element  $\tilde{f} \in L^2$  such that

$$\mathcal{F}f(\xi) = \tilde{f}(\xi) \qquad in \ L^2.$$

(ii) The adjoint operator  $\mathcal{F}^*$  is given by

$$\mathcal{F}^*g = l.i.m_{N \to \infty} \sum_{i=1}^N (2\pi)^{-1/2} \int_{\alpha_i \le \xi^2 \le \beta_i} g(\xi) e(x,\xi) \, d\xi$$

in  $L^2$ , where  $[\alpha_i, \beta_i) \subset (0, \infty)$  are a countable collection of disjoint intervals with  $[0, \infty) \setminus \mathcal{E}_0^2$  equal to  $\cup_i [\alpha_i, \beta_i)$ .

(iii) If  $f \in L^2$ , then  $||P_{ac}f||_{L^2} = ||\tilde{f}||_{L^2}$ , where  $P_{ac}$  is the projection onto  $\mathcal{H}_{ac}$ , the absolute continuous subspace in  $L^2$ .

- (iv)  $\mathcal{F}: L^2 \to L^2$  is a surjection. Moreover,  $\mathcal{FF}^* = Id$  and  $\mathcal{F}^*\mathcal{F} = P_{ac}$ .
- (v) If  $f \in \mathcal{D}(H)$ , then  $(Hf)^{\sim}(\xi) = \xi^2 \tilde{f}(\xi)$  in  $L^2$ .

Remark 1. The proof is based on the ideas of [6] for 3D. We also use some simplifications as found in Reed and Simon([7]) and Simon[8].

Remark 2. If  $|e(x,\xi)| \leq C$  a.e.  $(x,\xi) \in \mathbb{R}^2$ , then we have a "betterlooking" form in (*ii*) of the theorem

$$\mathcal{F}^* g = \text{l.i.m.}(2\pi)^{-1/2} \int g(\xi) e(x,\xi) \, d\xi.$$

If  $H = \int \lambda dE_{\lambda}$  is the spectral resolution of H, define the spectral operator  $\varphi(H) := \int \varphi(\lambda) dE_{\lambda}$  by functional calculus. We prove a representation formula for the integral kernel of  $\varphi(H)$ .

Let  $\{e_k\}_{k=1}^{\infty}$  be an orthonormal basis in  $\mathcal{H}_p$ , the subspace of eigenfunctions in  $L^2$  for H and let  $\lambda_k$  be the eigenvalue corresponding to  $e_k$ .

**Theorem 2.** Let the operator H be as in Theorem 1. Suppose  $\varphi : \mathbb{R} \to \mathbb{C}$  is continuous and has a compact support disjoint from  $\mathcal{E}_0^2 := \{\eta^2 : \eta \in \mathcal{E}_0\}$ . Then for  $f \in L^1 \cap L^2$ 

(3) 
$$\varphi(H)f(x) = \int_{-\infty}^{\infty} K(x,y)f(y) \, dy$$

where  $K = K_{ac} + K_p$ ,

$$K_{ac}(x,y) = (2\pi)^{-1} \int_{-\infty}^{\infty} \varphi(\xi^2) e(x,\xi) \overline{e(y,\xi)} \, d\xi.$$

and

$$K_p(x,y) = \sum_k \varphi(\lambda_k) e_k(x) \bar{e}_k(y).$$

Remark 1. If  $|e(x,\xi)| \leq C$ , a.e.  $(x,\xi) \in \mathbb{R}^2$ , then, under the same condition the integral expression (3) is valid for any  $\varphi \in C(\mathbb{R})$  with compact support.

Remark 2. When  $\varphi$  is smooth with rapid decay and V is compactly supported in  $\mathbb{R}^3$ , a formula of this type appeared in [9] by Tao.

## References

- P. Alsholm, G. Schmidt, Spectral and scattering theory for Schrödinger operators, Arch. Rational Mech. Anal. 40 (1971), 281–311.
- [2] J. J. Benedetto, Harmonic Analysis and Applications, CRC Press, Inc., Boca Raton. FL, 1997.
- [3] J. J. Benedetto and S. Zheng, Besov spaces for the Schrödinger operator with barrier potential, *submitted*.
- [4] M. Christ and A. Kiselev, One-Dimensional Schrödinger operators with slowly decaying potentials: spectra and asymptotics, or, *Baby Fourier Analysis Meets Toy Quantum Mechanics*, Notes for IPAM tutorial, 2001 Workshop on Oscillatory Integrals and Dispersive Equations.
- [5] C.-A. Guerin, M. Holschneider, Time-dependent scattering on fractal measures, J. Math. Physics 39(8), 1998.
- [6] T. Ikebe, Eigenfunction expansions associated with the Schrödinger operators and their applications to scattering theory, Arch. Rational Mech. Anal. 5 (1960), 1–34. (Erratum, Remarks on the orthogonality of eigenfunctions for the Schrödinger operator on R<sup>n</sup>, J. Fac. Sci. Univ. Tokyo Sect.I 17, 1970)
- [7] M. Reed and B. Simon, Methods of Modern Mathematical Physics III: Scattering Theory, Academic Press, New York, 1979.
- [8] B. Simon, Quantum Mechanics for Hamiltonians Defined as Quadratic Forms, Princeton University Press, Princeton, New Jersey, 1971.

## S. ZHENG

- [9] T. Tao, Scattering for the 3D Schrödinger equation with compactly supported potential, *Preprint*.
- [10] D. Thoe, Eigenfunction expansions associated with Schrödinger operators in  $\mathbb{R}^n$ ,  $n \geq 4$ , Arch. Rational Mech. Anal. **26** (1967), 335–356.
- [11] Q. Zhang, Global bounds of Schrödinger heat kernels with negative potentials, J. Func. Anal. 182(2001), no.2, 344-370.
- [12] S. Zheng, Besov spaces for Schrödinger operators, Dissertation, University of Maryland, 2003.

Department of Mathematics, Louisiana State University, Baton Rouge, LA70803

*E-mail address*: szheng@math.lsu.edu *URL*: http://www.math.lsu.edu/~szheng

4